

**ADMINISTRATIVE CHANGE TO  
BOP-40.001C, *Lines of Succession***

Locations of Changes:

Page	Paragraph	From	To
Cover		Added	Admin Change 1: 5-19-16
Cover	Footer	<a href="http://hq.na.gov">http://hq.na.gov</a>	<a href="https://nnsaportal.energy.gov/intranet/na-mb/na-mb-20/pages/nnsa-policy.aspx">https://nnsaportal.energy.gov/intranet/na-mb/na-mb-20/pages/nnsa-policy.aspx</a>
1	2	BOP-40.001B, Lines of Succession, dated 9-3-14.	BOP-40.001C, Lines of Succession, dated 2-25-16.
1	4	The dissolution of, or internal reorganizations within, NNSA elements required that the BOP be updated. The lines of succession for the Offices of Infrastructure and Operations (NA-00) and Safety and Health (NA-SH) were replaced with the line of succession for the Office of Safety, Infrastructure and Operations (NA-50). The lines of succession for the Offices of the Administrator (NA-1), Defense Programs (NA-10), Defense Nuclear Nonproliferation (NA-20), Emergency Operations (NA-40), Defense Nuclear Security (NA-70), Counterterrorism and Counterproliferation (NA-80), General Counsel (NA-GC), and Information Management (NA-IM) were updated.	In section 7.a., removed the Principal Assistant Deputy Administrator (ADA) for Military Application and added a footnote to the Deputy Administrator for Naval Reactors annotating restrictions in 10 U.S.C. 973(b)(2)(A)(ii). Added 5. BACKGROUND explaining 10 U.S.C. 973(b)(2)(A)(ii) and reference 8.b.
1	5 New	Added, renumbered remaining numbers	BACKGROUND. Per the NNSA Act, the Director of the Naval Nuclear Propulsion Program provided for under Executive Order (EO) 12344 serves as the Deputy Administrator for Naval Reactors (NR). EO 12344 provides that the Director of the Naval Nuclear Propulsion Program, and therefore the Deputy Administrator for NR, may be either a civilian or an officer of the U. S. Navy, active or retired. 10 U.S.C. 973(b)(2)(A)(ii) provides

Page	Paragraph	From	To
			<p>that, except as otherwise authorized by law, an active duty officer may not hold, or exercise the functions of, a civil office in the Government of the United States that requires an appointment by the President, by and with the advice and consent of the Senate (PAS). Therefore, any naval officer serving in the position of Deputy Administrator for Naval Reactors may not hold, or exercise the functions of the Administrator, a PAS position. A civilian Deputy Administrator for NR, would have no restrictions on serving as the Acting Administrator pursuant to this BOP.</p> <p>It should be noted that the Principal Deputy Administrator for Military Application (PADAMA), a position filled by an active duty military officer, for the reasons described above (10 U.S.C. 973(b)(2)(A)(ii)), may not hold, or exercise the functions of the Administrator. This statutory provision does not prevent the PADAMA from acting as Deputy Administrator for Defense Programs, since in accordance with the Federal Vacancies Reform Act; the PADAMA is the first assistant to that position and authorized to serve as acting when the Deputy Administrator for Defense Programs becomes vacant.</p>
3	7.a.(4) New	Added endnote 1	<p><sup>1</sup> In accordance with 10 U.S.C. 973(b)(2)(A)(ii) any naval officer serving in the position of Deputy Administrator for NR may not hold, or exercise the functions of the Administrator; however any civilian Deputy Administrator for NR may hold, or exercise the functions of the</p>

Page	Paragraph	From	To
			Administrator.
3	6.a.(12) Old	Principal Assistant Deputy Administrator (ADA) for Military Application	Deleted, renumbered remaining items
7	8.a. New	5 U.S.C. § 3345, Acting Officer	Federal Vacancies Reform Act, 5 U.S.C. § 3345, Acting Officer
7	8.b. New	Added, renumbered remaining items	10 U.S.C. § 973(b)(2)(A)(ii), Duties: officers on active duty; performance of civil functions restricted.

## BUSINESS OPERATING PROCEDURE

BOP-40.001C

Approved: 2-25-16  
Admin Change 1: 5-19-16

# LINES OF SUCCESSION

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## NATIONAL NUCLEAR SECURITY ADMINISTRATION Office of Emergency Operations

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CONTROLLED DOCUMENT  
AVAILABLE ONLINE AT:

<https://nnsaportal.energy.gov/intranet/na-mb/na-mb-20/pages/nnsa-policy.aspx>

OFFICE OF PRIMARY INTEREST (OPI):  
Office of Emergency Operations

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## LINES OF SUCCESSION

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1. PURPOSE. Federal agencies are required to establish and maintain orders of succession for key positions. This Business Operating Procedure (BOP) establishes the lines of succession for the NNSA in the event that senior leadership in key positions are unable to perform the functions and duties of their office.
2. CANCELLATION. BOP-40.001C, *Lines of Succession*, dated 2-25-16.
3. APPLICABILITY.
  - a. NNSA Federal: The provisions of this policy apply to all NNSA Federal employees.
  - b. Contractors: Does not apply to contractors.
  - c. Equivalency. In accordance with the responsibilities and authorities assigned by Executive Order 12344, codified at 50 USC sections 2406 and 2511 and to ensure consistency through the joint Navy/DOE Naval Nuclear Propulsion Program, the Deputy Administrator for Naval Reactors (Director) will implement and oversee requirements and practices pertaining to this Directive for activities under the Director's cognizance, as deemed appropriate.
4. SUMMARY OF CHANGES. In section 7.a., removed the Principal Assistant Deputy Administrator (ADA) for Military Application and added a footnote to the Deputy Administrator for Naval Reactors annotating restrictions in 10 U.S.C. 973(b)(2)(A)(ii). Added 5. BACKGROUND explaining 10 U.S.C. 973(b)(2)(A)(ii) and reference 8.b.
5. BACKGROUND. Per the NNSA Act, the Director of the Naval Nuclear Propulsion Program provided for under Executive Order (EO) 12344 serves as the Deputy Administrator for Naval Reactors (NR). EO 12344 provides that the Director of the Naval Nuclear Propulsion Program, and therefore the Deputy Administrator for NR, may be either a civilian or an officer of the U. S. Navy, active or retired. 10 U.S.C. 973(b)(2)(A)(ii) provides that, except as otherwise authorized by law, an active duty officer may not hold, or exercise the functions of, a civil office in the Government of the United States that requires an appointment by the President, by and with the advice and consent of the Senate (PAS). Therefore, any naval officer serving in the position of Deputy Administrator for Naval Reactors may not hold, or exercise the functions of the Administrator, a PAS position. A civilian Deputy Administrator for NR, would have no restrictions on serving as the Acting Administrator pursuant to this BOP.

It should be noted that the Principal Deputy Administrator for Military Application (PADAMA), a position filled by an active duty military officer, for the reasons described above (10 U.S.C. 973(b)(2)(A)(ii)), may not hold, or exercise the functions of the Administrator. This statutory provision does not prevent the PADAMA from acting as Deputy Administrator for Defense Programs, since in accordance with the Federal Vacancies Reform Act; the PADAMA is the first assistant to that position and

authorized to serve as acting when the Deputy Administrator for Defense Programs becomes vacant.

6. REQUIREMENTS.

- a. Any official performing the essential functions pursuant to this succession order, during the period of service under this order, may exercise all authority vested by law in the identified position necessary to ensure NNSA essential functions are performed.
- b. No individual serving in an acting capacity in one of the positions identified below is included in this line of succession unless specifically designated in writing. The order of succession will skip any individual in an acting capacity and move to the next individual that is not in an acting capacity.
- c. The line of succession included herein does not preclude the Administrator, Principal Deputy Administrator, Deputy Administrator, or Associate Administrator, as appropriate, from designating in writing an official chosen to perform selected routine responsibilities while temporarily on scheduled travel or leave.
- d. In the event that NNSA is incapacitated and Headquarters personnel are unavailable or incapable of deploying to the Germantown Alternate Operating Facility, the Devolution of Control and Direction contained in the DOE COOP Plan will become effective. In this situation, Headquarters senior leadership, their responsibilities, and essential functions will devolve to a designated Devolution of Operations site, as identified with an asterisk (\*) in the Lines of Succession below. If a site has not been identified, the NNSA Albuquerque Complex is the Remote Alternate Operating Facility.

7. LINES OF SUCCESSION.

- a. Administrator. In the event the Administrator dies, resigns, or is otherwise unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until the absence or disability ceases:
  - (1) Principal Deputy Administrator
  - (2) Deputy Administrator for Defense Programs
  - (3) Deputy Administrator for Defense Nuclear Nonproliferation

- (4) Deputy Administrator for Naval Reactors<sup>1</sup>
  - (5) Chief of Staff
  - (6) Associate Administrator for Management and Budget
  - (7) Associate Administrator for Safety, Infrastructure and Operations
  - (8) Associate Administrator for Acquisition and Project Management
  - (9) Associate Administrator and Deputy Under Secretary for Counterterrorism and Counterproliferation
  - (10) Associate Administrator for Emergency Operations
  - (11) General Counsel
  - (12) Principal ADA for Defense Programs
  - (13) Principal ADA for Defense Nuclear Nonproliferation
  - (14) Associate Administrator for Defense Nuclear Security
  - (15) Associate Administrator for Information Management and Chief Information Officer
  - (16) ADA for Secure Transportation\*
- b. Deputy Administrator for Defense Programs (NA-10). In the event the Deputy Administrator dies, resigns, or is otherwise unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until the absence or disability ceases:
- (1) Principal ADA for Military Applications
  - (2) Principal ADA for Defense Programs
  - (3) ADA for Stockpile Management
  - (4) ADA for Major Modernization Programs
  - (5) ADA for Research, Development, Test and Evaluation
  - (6) ADA for Strategic Partnership Programs

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<sup>1</sup> In accordance with 10 U.S.C. 973(b)(2)(A)(ii) any naval officer serving in the position of Deputy Administrator for NR may not hold, or exercise the functions of the Administrator; however any civilian Deputy Administrator for NR may hold, or exercise the functions of the Administrator.



- (7) ADA for Decision Support
  - (8) ADA for Secure Transportation\*
  - (9) ADA for Systems Engineering and Integration
- c. Deputy Administrator for Defense Nuclear Nonproliferation (NA-20). In the event the Deputy Administrator dies, resigns, or is otherwise unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until the absence or disability ceases:
- (1) Principal ADA for Defense Nuclear Nonproliferation
  - (2) ADA for Defense Nuclear Nonproliferation Research and Development
  - (3) ADA for Global Material Security
  - (4) ADA for Material Management and Minimization
  - (5) ADA for Nonproliferation and Arms Control
  - (6) Chief Operations Officer
  - (7) Supervisory General Engineer, Savannah River Site\*
- d. Associate Administrator for Emergency Operations (NA-40). In the event the Associate Administrator dies, resigns, or is otherwise unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until the absence or disability ceases:
- (1) Deputy Associate Administrator for Emergency Operations
  - (2) Director, Office of Plans and Policy
  - (3) Director, Office of Consolidated Emergency Operations Center
  - (4) Director, Administration, Finance and Acquisition
  - (5) Director, Office of Operations and Exercises
- e. Associate Administrator for Safety, Infrastructure and Operations (NA-50). In the event the Associate Administrator dies, resigns, or is otherwise unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until the absence or disability ceases:

- (1) Principal Deputy Associate Administrator for Safety, Infrastructure and Operations
  - (2) Deputy Associate Administrator for Safety
  - (3) Deputy Associate Administrator for Infrastructure
  - (4) Deputy Associate Administrator for Enterprise Stewardship\*
- f. Associate Administrator for Defense Nuclear Security (NA-70). In the event the Associate Administrator dies, resigns, or is otherwise unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until the absence or disability ceases:
- (1) Deputy Associate Administrator for Defense Nuclear Security
  - (2) Director, Office of Security Operations and Programmatic Planning
  - (3) Director, Office of Resource Management and Mission Support
  - (4) Director, Office of Personnel and Facility Clearances and Classification\*
- g. Associate Administrator and Deputy Under Secretary for Counterterrorism and Counterproliferation (NA-80). In the event the Associate Administrator and Deputy Under Secretary dies, resigns, or is otherwise unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until the absence or disability ceases:
- (1) Deputy Associate Administrator for Counterterrorism and Counterproliferation
  - (2) Director, Office of Nuclear Threat Science
  - (3) Director, Office of Nuclear Incident Policy and Cooperation
  - (4) Director, Office of Nuclear Forensics
- h. Associate Administrator for Acquisition and Project Management (NA-APM-1). In the event the Associate Administrator dies, resigns, or is otherwise unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until the absence or disability ceases:
- (1) Deputy Associate Administrator for Acquisition and Project Management\*
  - (2) Director, Office of Acquisition Management

- (3) Director, Office of Enterprise Project Management
- i. Associate Administrator for External Affairs (NA-EA-1). In the event the Associate Administrator dies, resigns, or is otherwise unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until the absence or disability ceases:
- (1) Deputy Associate Administrator for External Affairs
  - (2) Director, Office of Congressional Affairs
  - (3) Director, Office of Public Affairs
  - (4) Director, Office of Intergovernmental Affairs
- j. General Counsel (NA-GC-1). In the event the General Counsel dies, resigns, or is otherwise unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until the absence or disability ceases:
- (1) Deputy General Counsel for Procurement, Intellectual Property and Technology Transfer
  - (2) Deputy General Counsel for General Law and Litigation
  - (3) Assistant General Counsel for Litigation\*
  - (4) Assistant General Counsel for Intellectual Property and Technology Transfer\*
- k. Associate Administrator for Information Management and Chief Information Officer (NA-IM-1). In the event the Associate Administrator dies, resigns, or is otherwise unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until the absence or disability ceases:
- (1) Director, Office of Policy and Governance
  - (2) Director, Office of Cyber Security and Information Technology Operations
  - (3) Deputy Director, Office of Cyber Security and Information Technology Operations \*
- l. Associate Administrator for Management and Budget (NA-MB-1). In the event the Associate Administrator dies, resigns, or is otherwise unable to perform the functions and duties of the office, the following officials, in the order indicated,

shall perform the functions and duties temporarily, until the absence or disability ceases:

- (1) Deputy Associate Administrator for Financial Management
- (2) Deputy Associate Administrator for Human Capital and Leadership Development
- (3) Director, Office of Human Capital Management
- (4) Director, Office of Business Operations
- (5) Deputy Associate Deputy Administrator for Financial Management
- (6) Director, Office of Field Financial Management\*

8. REFERENCES.

- a. Federal Vacancies Reform Act, 5 U.S.C. § 3345, Acting Officer.
- b. 10 U.S.C. § 973(b)(2)(A)(ii), Duties: officers on active duty; performance of civil functions restricted.
- c. Title XXXII of P.L. 106-65, National Nuclear Security Administration Act, as amended, which established a separately organized agency within the Department of Energy.
- d. Executive Order 12656, *Assignment of Emergency Preparedness Responsibilities*, November 18, 1988, as amended.
- e. Federal Continuity Directive 1 (FCD 1) dated October 2012.
- f. DOE O 100.1E, *Secretarial Succession, Threat Level Notification, and Successor Tracking*, Admin Change 1, dated January 28, 2013.
- g. DOE O 150.1A, *Continuity Programs*, dated March 31, 2014.
- h. DOE Continuity of Operations (COOP) Plan, dated April 2013.

9. CONTACT. Office of Emergency Management (NA-40), 301-903-5886.

BY ORDER OF THE ADMINISTRATOR:

  
Frank G. Klotz  
Administrator