# SUPPLEMENTAL DIRECTIVE

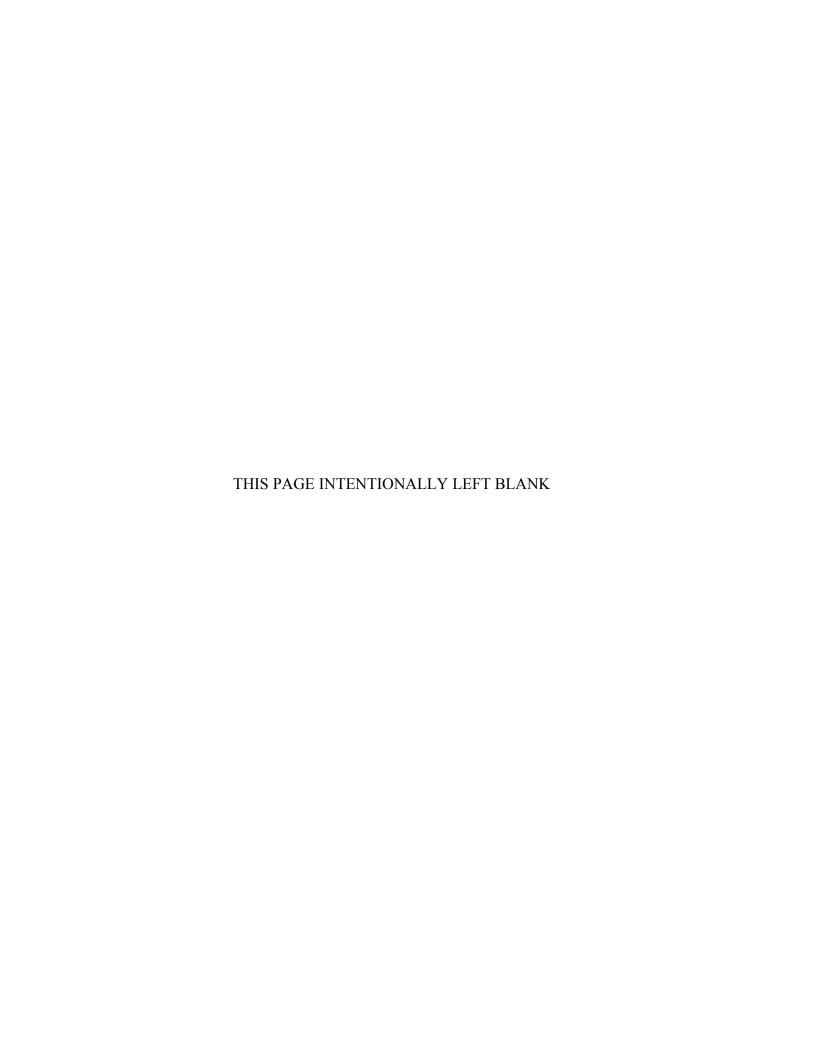
**NNSA SD 150.1A** 

Approved: 09-06-19 Expires: 09-06-22

# LINES OF SUCCESSION



# NATIONAL NUCLEAR SECURITY ADMINISTRATION Office of Emergency Operations



#### LINES OF SUCCESSION

- 1. <u>PURPOSE</u>. This Supplemental Directive (SD) establishes orders of succession for key positions as required by Department of Energy (DOE) Order 150.1A, *Continuity Programs*.
- 2. <u>CANCELLATION</u>. National Nuclear Security Administration (NNSA) SD 150.1, *Lines of Succession*, dated 6-30-17.

#### 3. APPLICABILITY.

- a. <u>Federal</u>. The provisions of this policy apply to all NNSA federal employees.
- b. Contractors. Does not apply to contractors.
- c. Equivalency. In accordance with the responsibilities and authorities assigned by Executive Order 12344, codified at 50 United States Code (U.S.C.) sections 2406 and 2511, and to ensure consistency throughout the joint Navy/DOE Naval Nuclear Propulsion Program, the Deputy Administrator for Naval Reactors (Director) will implement and oversee requirements and practices pertaining to this Directive for activities under the Director's cognizance, as deemed appropriate.
- 4. <u>SUMMARY OF CHANGES</u>. This SD revises the Requirements section and restructures the lines of succession for the Administrator, NA-10, NA-30, NA-40, NA-50, NA-70, NA-80, and NA-EA. This SD modifies position titles throughout those organizations, as necessary.
- 5. <u>BACKGROUND</u>. Presidential Policy Directive 40 (PPD-40), *National Continuity Policy*, directs the Secretary of Homeland Security through the Administrator of the Federal Emergency Management Agency (FEMA) to coordinate the implementation, execution, and assessment of continuity activities among executive departments and agencies. Federal Continuity Directive 1 (FCD-1) implements this requirement by establishing the framework, requirements, and processes to support the development of departments' and agencies' continuity programs and by specifying and defining elements of a continuity plan.

Among the requirements for an agency's continuity plan is the establishment of a line of succession. Orders of succession are formal and sequential listings of positions (rather than specific names of individuals) that identify who is authorized to assume a particular leadership or management role under specific circumstances.

This SD fulfills NNSA's requirement to establish a line of succession for both the Administrator and for the program offices within NNSA.

2 SD 150.1A 09-06-19

#### 6. REQUIREMENTS.

a. Any official performing the essential functions pursuant to this succession order, during the period of service under this order, will exercise all authority vested by law in the identified position necessary to ensure NNSA essential functions are performed.

- b. No individual serving in an acting capacity in one of the positions identified below is included in this line of succession unless specifically designated in writing. The order of succession will skip any individual in an acting capacity and move to the next individual who is not in an acting capacity.
- c. The line of succession included herein does not preclude the Administrator, Principal Deputy Administrator, Deputy Administrators, Associate Administrators, or the General Counsel, as appropriate, from designating in writing an official chosen to perform selected routine responsibilities while temporarily on scheduled travel or leave.

#### 7. LINES OF SUCCESSION.<sup>1</sup>

- a. <u>Administrator</u>. In the event the Administrator is unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until someone is appointed or the absence or disability ceases:
  - (1) Principal Deputy Administrator
  - (2) Deputy Administrator for Defense Programs
  - (3) Deputy Administrator for Defense Nuclear Nonproliferation
  - (4) Deputy Administrator for Naval Reactors
  - (5) Associate Principal Deputy Administrator
  - (6) Chief of Staff
  - (7) Associate Administrator for Management and Budget
  - (8) Associate Administrator for Safety, Infrastructure and Operations
  - (9) Associate Administrator for Acquisition and Project Management

<sup>&</sup>lt;sup>1</sup> 10 U.S.C. 973(b) (2) (A) (ii) provides that, except as otherwise authorized by law, an active duty military officer may not hold, or exercise the functions of, a civil office in the Government of the United States that requires an appointment by the President, by and with the advice and consent of the Senate (PAS). This statutory provision does not prevent active duty military officers from succeeding to the non-PAS positions.

- (10) Associate Administrator and Deputy Under Secretary for Counterterrorism and Counterproliferation
- (11) Associate Administrator and Deputy Under Secretary for Emergency Operations
- (12) General Counsel
- (13) Principal Assistant Deputy Administrator (ADA) for Defense Programs
- (14) Principal ADA for Defense Nuclear Nonproliferation
- (15) Associate Administrator and Chief for Defense Nuclear Security
- (16) Associate Administrator for Information Management and Chief Information Officer
- (17) Associate Administrator for External Affairs
- (18) Manager, Los Alamos Field Office
- b. <u>Deputy Administrator for Defense Programs (NA-10)</u>. In the event the Deputy Administrator is unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until someone is appointed or the absence or disability ceases:
  - (1) Principal ADA for Defense Programs
  - (2) Principal ADA for Military Application<sup>2</sup>
  - (3) ADA for Stockpile Management
  - (4) ADA for Production and Production Modernization
  - (5) ADA for Research, Development, Test, and Evaluation
  - (6) ADA for Systems Engineering and Integration

<sup>2</sup> The Principal Assistant Deputy Administrator for Military Application (PADAMA), a position filled by an active duty military officer, for the reasons described above (10 U.S.C. 973(b)(2)(A)(ii)), may not hold, or exercise the functions of the Administrator. This statutory provision does not prevent the PADAMA from acting as Deputy Administrator for Defense Programs, since in accordance with the Federal Vacancies Reform Act, the PADAMA is the first assistant to that position and authorized to serve as acting when the Deputy Administrator for Defense Programs becomes vacant.

4 SD 150.1A 09-06-19

c. <u>Deputy Administrator for Defense Nuclear Nonproliferation (NA-20)</u>. In the event the Deputy Administrator is unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until someone is appointed or the absence or disability ceases:

- (1) Principal ADA for Defense Nuclear Nonproliferation
- (2) ADA for Global Material Security
- (3) ADA for Defense Nuclear Nonproliferation Research and Development
- (4) ADA for Material Management and Minimization
- (5) ADA for Nonproliferation and Arms Control
- (6) Associate Assistant Deputy Administrator (AADA) for Global Material Security
- (7) AADA for Defense Nuclear Nonproliferation Research and Development
- (8) AADA for Material Management and Minimization
- (9) AADA for Nonproliferation and Arms Control
- (10) Chief Operating Officer
- (11) Supervisory General Engineer, Albuquerque Complex
- d. <u>Deputy Administrator for Naval Reactors (NA-30)</u>. In the event the Director is unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until someone is appointed or the absence or disability ceases:
  - (1) Deputy Director
  - (2) Program Manager Commissioned Submarines
  - (3) Director Surface Ship Nuclear Propulsion
  - (4) Program Manager for Shipyard Matters
  - (5) Assistant Manager for Operations Pittsburgh
  - (6) Director Regulatory Affairs
  - (7) Naval Reactors Representative Newport News Shipbuilding

- e. <u>Associate Administrator and Deputy Under Secretary for Emergency Operations</u> (NA-40). In the event the Associate Administrator and Deputy Under Secretary is unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until someone is appointed or the absence or disability ceases:
  - (1) Deputy Associate Administrator for Emergency Operations
  - (2) Chief of Staff
  - (3) Director, Office of Consolidated Emergency Operations Center
  - (4) Director, Office of Continuity Programs
  - (5) Director, Office of Emergency Management Programs
  - (6) Director, Office of Emergency Operations Policy
  - (7) NA-40-1 Out-of-Area Successor
- f. <u>Associate Administrator for Safety, Infrastructure, and Operations (NA-50)</u>. In the event the Associate Administrator is unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until someone is appointed or the absence or disability ceases:
  - (1) Principal Deputy Associate Administrator for Safety, Infrastructure, and Operations
  - (2) Deputy Associate Administrator for Infrastructure
  - (3) Deputy Associate Administrator for Safety
  - (4) Deputy Associate Administrator for Enterprise Stewardship
- g. <u>Associate Administrator and Chief for Defense Nuclear Security (NA-70)</u>. In the event the Associate Administrator and Chief is unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until someone is appointed or the absence or disability ceases:
  - (1) Deputy Associate Administrator for Defense Nuclear Security
  - (2) Director, Office of Security Operations and Programmatic Planning
  - (3) Director, Office of Resource Management and Mission Support
  - (4) Director, Office of Personnel and Facility Clearances and Classification
  - (5) Chief of Staff

6 SD 150.1A 09-06-19

h. <u>Associate Administrator and Deputy Under Secretary for Counterterrorism and Counterproliferation (NA-80)</u>. In the event the Associate Administrator and Deputy Under Secretary is unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until someone is appointed or the absence or disability ceases:

- (1) Deputy Associate Administrator for Counterterrorism and Counterproliferation
- (2) Director, Office of Nuclear Incident Response
- (3) Director, Office of Nuclear Threat Science
- (4) Director, Office of Nuclear Forensics
- (5) Director, Office of Nuclear Incident Policy and Cooperation
- (6) Deputy Director, Office of Nuclear Incident Response
- i. <u>Associate Administrator for Acquisition and Project Management (NA-APM)</u>. In the event the Associate Administrator is unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until someone is appointed or the absence or disability ceases:
  - (1) Deputy Associate Administrator for Acquisition and Project Management
  - (2) Director, Office of Acquisition Management
  - (3) Director, Office of Enterprise Project Management
  - (4) Deputy Director, Office of Acquisition Management
- j. <u>Associate Administrator for External Affairs (NA-EA)</u>. In the event the Associate Administrator is unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until someone is appointed or the absence or disability ceases:
  - (1) Deputy Associate Administrator for External Affairs
  - (2) Director, Office of Congressional Affairs
  - (3) Director, Office of Public Affairs
  - (4) Director, Office of Intergovernmental Affairs
  - (5) NA-EA-1 Out-of-Area Successor

- k. <u>General Counsel (NA-GC)</u>. In the event the General Counsel is unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until someone is appointed or the absence or disability ceases:
  - (1) Deputy General Counsel for General Law and Litigation
  - (2) Deputy General Counsel for Procurement, Intellectual Property and Technology Transfer
  - (3) Assistant General Counsel for Litigation
  - (4) Assistant General Counsel for Intellectual Property and Technology
    Transfer
- 1. <u>Associate Administrator for Information Management and Chief Information Officer (NA-IM)</u>. In the event the Associate Administrator and Chief Information Officer is unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until someone is appointed or the absence or disability ceases:
  - (1) Director, Office of Policy and Governance
  - (2) Director, Office of Cyber Security and Information Technology Operations
  - (3) Deputy Director, Office of Cyber Security and Information Technology Operations
- m. <u>Associate Administrator for Management and Budget (NA-MB)</u>. In the event the Associate Administrator is unable to perform the functions and duties of the office, the following officials, in the order indicated, shall perform the functions and duties temporarily, until someone is appointed or the absence or disability ceases:
  - (1) Deputy Associate Administrator for Budget
  - (2) Deputy Associate Administrator for Management
  - (3) Director, Human Resources
  - (4) Director, Business Services
  - (5) Deputy Associate Deputy Administrator for Budget
  - (6) Director, Financial Performance

- 8. <u>REFERENCES</u>. See Appendix 1.
- 9. <u>CONTACT</u>. Continuity Program Office, Office of Emergency Operations (NA-40), 301-903-3776.

### BY ORDER OF THE ADMINISTRATOR:

Lisa E. Gordon-Hagerty

Administrator

Appendix:

1. Reference

Appendix 1 SD 150.1A AP1-1 09-06-19

## **APPENDIX 1: REFERENCES**

- a. Federal Vacancies Reform Act, 5 U.S.C. § 3345, Acting Officer.
- b. 10 U.S.C. § 973(b) (2) (A) (ii), Duties: officers on active duty; performance of civil functions restricted.
- c. Title XXXII of P.L. 106-65, *National Nuclear Security Administration Act*, as amended, which established a separately organized agency within the Department of Energy.
- d. Executive Order 12656, *Assignment of Emergency Preparedness Responsibilities*, November 18, 1988, as amended.
- e. Federal Continuity Directive 1 (FCD 1), dated January 2017.
- f. DOE O 100.1E, Secretarial Succession, Threat Level Notification, and Successor Tracking, Admin Change 1, dated 1-28-13.
- g. DOE O 150.1A, Continuity Programs, dated 3-31-14.
- h. DOE/NNSA Continuity of Operations (COOP) Plan, dated April 2013, as amended